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Attorney Docket No.: 5085-6

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## UTILITY PATENT APPLICATION TRANSMITTAL

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Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, DC 20231

Dated: November 6, 2000

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Otto BACH, David LUPTON, Hans BOHMEIER, Ralf HECK

For: Tube Target

Enclosed are:

- Transmittal letter (2x) with Fee Computation Sheet
- General Authorization For Payment of Fees (2x)
- Title Page, Specification, Claims 1 to 12 & Abstract (11 pages)
- Unexecuted Declaration and Power of Attorney (4 p.)
- Check for \$790 for filing fee
- Certified copy of priority document No(s). 199 53 470.5
- Letter Transmitting Priority document
- Return Receipt Postcard

☐ Please charge my Deposit Account No. 03-2412 in the amount of \$. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17

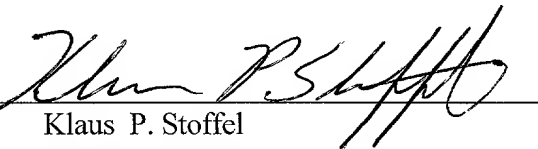
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- ☒ The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
  - ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.
- ☒ Priority is claimed for this invention and application, corresponding applications having been filed in **Germany** on **November 05, 1999**, No. **199 53 470.5**.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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## FILING FEE COMPUTATION SHEET

*Submit an original and a duplicate for fee processing*

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, DC 20231

Dated: November 6, 2000

In re Application of: **Otto BACH et al.**

For: **Tube Target**

The filing fee has been calculated as shown below:

FOR:	Col. 1	Col. 2	SMALL ENTITY	OTHER THAN SMALL ENTITY
	# FILED	# EXTRA		
BASIC FEE			<b>\$355</b>	<b>\$710</b>
TOTAL CLAIMS	<u>12</u> - 20 =	<u>0</u>	x 9 = \$	x 18 = \$
INDEPENDENT CLAIMS	<u>4</u> - 3 =	<u>1</u>	x 40 = \$	x 80 = \$ 80
<input type="checkbox"/> MULTIPLE DEPENDENCY			+\$135 = \$	+ 270 \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL: \$	\$ 790

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**Inventor(s):** Otto BACH  
David LUPTON  
Hans BOHMEIER  
Ralf HECK

## **BACKGROUND OF THE INVENTION**

### **Field of the Invention**

The invention relates to a tube target for cathode sputtering installations, to a process for producing a cylindrical hollow body for such a tube target and to its use.

### **Discussion of the Prior Art**

Rotating tube targets for cathode sputtering installations are known. They are primarily used for the production of coatings over large surface areas, for example for the coating of flat glass. In comparison with planar targets, tube targets permit a higher yield of target material, which is of major economic significance in particular in the case of high-value coating materials such as noble metals.

Various processes are known for the production of a target tube, or a cylindrical hollow body, from coating material. To achieve high sputtering performances with the tube target and a high degree of uniformity, or a uniform thickness of the coating produced, a uniform, fine-grained structure of the target material is aimed for.

For instance, a target tube can be produced from metal by casting a solid bar, forging the bar into a cylindrical billet and subsequently producing a tube by machining. The material yield in the case of this process is low, however, and the machining is complex. Moreover, high degrees of deformation are required to achieve a uniform, fine-grained structure.

The production of a metallic target tube may also be performed, however, by continuous casting with subsequent machining of the inside and outside diameters. Due to the slow solidification of the tube in continuous casting, however, a coarse-grained and consequently less suitable structure is produced. In the case of horizontal continuous casting, moreover, a nonuniform grain structure is formed over the tube cross section, leading to different rates of deposition.

A metallic target tube may also be produced by carrying out a forming operation on a thick strip to form a tubular geometry and closing the longitudinal seam by welding. However, the welded seam has a nonuniform structure, which differs from the material of the strip which has undergone the forming.

Production by means of extrusion of a hollow-cylindrical bolt is possible both for metallic coating materials and for ceramic coating materials. The material yield is low, however.

U.S. Patent No. 5,354,446 describes a rotatable tube target on the magnetron principle with ceramic coating material for cathode sputtering. The target has a target holder, which has two metallic layers and, as the uppermost layer, a ceramic layer. The coefficients of thermal expansion of the two metallic layers are chosen such that a more or less smooth transition is produced between the coefficient of thermal expansion of the target holder and that of the ceramic layer. The production of the layers preferably takes place by complex processes such as plasma spraying or pressing layer particles onto the target holder by means of isostatic pressing or hot isostatic pressing.

French reference 2 745 010 describes a tube target for cathode sputtering which is composed of a plurality of elongate plates. The outer tube shape in this case preferably takes the form of a polygon. Elongate plates of standard materials in the form of metal sheets or bars may

be used. The production of elongate plates from special materials can be performed by pressing, in particular by isostatic or hot isostatic pressing. The assembly of the plates to form a tube is performed by screwing, soldering or adhesive bonding.

## **SUMMARY AND DESCRIPTION OF THE INVENTION**

Accordingly, it is an object of the present invention to provide a simple and low-cost process for producing a cylindrical hollow body for a tube target, and to provide a cylindrical hollow body with a uniform, fine-grained structure.

Pursuant to this object, and others which will become apparent hereafter, one aspect of the present invention resides in producing the cylindrical hollow body by centrifugal casting of a melt. A cylindrical hollow body is understood here as meaning a tubular blank which is processed into a target tube by machining and is subsequently assembled with further parts to form a tube target.

In the centrifugal casting process, the material for the cylindrical hollow body is cast into a geometry which is already "close to the final form", whereby only little machining of the inner and outer contours of the cylindrical hollow body being produced is subsequently required. This has the advantage of a high material yield. It has been found that the centrifugal casting has the effect that a very uniform grain structure is formed in the wall of the cylindrical hollow body, with columnar crystallites arranged essentially perpendicular to the circumference of the hollow body. This formation of the grain structure has the effect that the crystallites are always removed perpendicular to their thin cross section during a sputtering operation in a cathode sputtering installation. This means that the grain structure, and consequently the rate of deposition, do not change throughout the time during which the tube target is in use. Due to the centrifugal forces occurring during centrifugal casting, contaminants in the casting material, such as slag, carbon or graphite for example, or else gas bubbles, are displaced during centrifuging in the direction of the inside diameter of the hollow body which is being formed and



can be removed in a simple way in the subsequent machining of the inner contour of the tube. This leads to a very clean and homogeneous grain structure of the target tube and consequently to a high quality of the tube target. Moreover, the waste material produced by the machining can for the most part be used again as returned material in the next casting. The proportion of returned material added may in this case generally be between 0% and 100%.

It has proven to be particularly advantageous if the cylindrical hollow body is produced by horizontal centrifugal casting in a rotating casting mold. The gas atmosphere should be adapted during the centrifugal casting to the cast material so that the cast material is influenced as little as possible in its properties. A gas atmosphere in the casting mold with a low oxygen partial pressure is of advantage here in most cases. Industrial gases such as natural gas or argon or forming gas or nitrogen can consequently be used in a simple way.

The cylindrical hollow body may be formed from a metal and/or a noble metal. Both a single-layer structure of the cylindrical hollow body from a single material and a multi-layer structure from different materials are possible here. To produce a high-quality, multi-layer cylindrical hollow body by centrifugal casting, a cylindrical hollow body is for example produced from a noble metal and cooled. Before the inside diameter of this hollow body is provided with a further layer, the inner contour should be cleaned and smoothed by means of a machining step. Another melt of metal can then be introduced by centrifugal casting into the cylindrical hollow body machined in this way, the other melt covering the inside diameter of the cylindrical hollow body. In the case of materials with greatly differing coefficients of thermal expansion, an intermediate layer compensating for stresses may be provided.

The production of a cylindrical hollow body from silver is of commercial interest in particular. AgCuNi alloys, which are more favorable in handling on account of their greater

strength in comparison with pure silver, and Ag materials with low doping levels, such as for example a fine-grained silver with 0.15% by weight of nickel, may also be used. It is particularly advantageous that cylindrical hollow bodies can be produced from alloys which cannot be produced by other processes on account of their unfavorable deforming properties.

It has proven successful if the rotational speed of the casting mold is set such that an acceleration acting on the melt to be introduced corresponds to 160 to 180 times the acceleration due to gravity.

The problem is solved for the tube target by the tube target having a centrifugally cast, cylindrical hollow body. With such a tube target, layers of uniform thickness over large surface areas can be achieved at a high rate of deposition.

The cylindrical hollow body may be formed from a metal and/or a noble metal. However, the cylindrical hollow body is preferably formed from silver.

Use of centrifugally cast, cylindrical hollow bodies for tube targets is of great advantage. Use of tube targets with centrifugally cast, cylindrical hollow bodies of silver for the coating of flat glass has proven successful in particular.

### Examples

The following three examples serve to explain the invention by way of example.

Example 1: production of a cylindrical hollow body with a length of 1000 mm.

Example 2: production of a cylindrical hollow body with a length of 4000 mm.

Example 3: machining of the cylindrical hollow body.

#### Example 1:

A material comprising 70% by weight of silver granules and 30% by weight of silver from returned material is melted in a clay-graphite crucible, the silver melt being covered with charcoal or graphite. The silver melt is poured at a temperature of 1100°C into a casting ladle, in which a preheated clay-graphite crucible is located. The silver melt is poured out of the casting ladle without interruption into the rotating casting mold, preheated to 100°C, of a horizontal centrifugal casting installation. The rotational speed of the casting installation is set such that the acceleration acting on the melt to be introduced corresponds to 160 to 180 times the acceleration due to gravity.

The outside diameter of the cylindrical hollow body is determined by the inside diameter of the casting mold, while the thickness of the wall of the hollow body is set by the amount of melt introduced. To largely avoid oxygen absorption of the silver melt, the mold is flushed with natural gas during casting. The silver melt solidifies in a tubular form on the wall of the casting mold. The cylindrical hollow body produced can be removed from the casting mold after cooling and can be machined.

#### Example 2:

The production of the cylindrical hollow body is performed essentially as in Example 1. On account of the great length of 4000 mm, the temperature of the melt is chosen, however, at 1250°C and the casting mold is preheated to 200°C.

Example 3:

The cylindrical hollow body removed from the casting mold is cut to length with a conventional band saw and the inside diameter is machined with a deep-drilling machine. If the deep-drilling method is used, a high material-cutting rate, close drilling tolerances, high alignment accuracy, exact geometry and high surface quality are produced. The machining of the outside diameter is performed on a long-bed lathe. To avoid bending in the case of the long cylindrical hollow bodies, the turned surface respectively being machined is supported by a steady rest (supporting shoe) running along with it and/or fixed steady rests.

The various features of novelty which characterize the invention are pointed out with particularity in the claims annexed to and forming a part of the disclosure. For a better understanding of the invention, its operating advantages, and specific objects attained by its use, reference should be had to the drawing and descriptive matter in which there are illustrated and described preferred embodiments of the invention.

## IN THE CLAIMS

We claim:

1. A process for producing a cylindrical hollow body for a tube target for cathode sputtering installations, comprising the step of producing a cylindrical hollow body by centrifugally casting a melt.
2. A process as defined in claim 1, wherein the casting step includes horizontal centrifugal casting the melt in a rotating casting mold to produce the cylindrical hollow body.
3. A process as defined in claim 2, including conducting the centrifugal casting place in a gas atmosphere with a low oxygen partial pressure.
4. A process as defined in claim 3, wherein the gas atmosphere in the casting mold is formed by one of natural gas, argon forming gas and nitrogen.
5. A process as defined in claim 1, wherein the cylindrical hollow body is formed from at least one of a metal and a noble metal.

6. A process as defined in claim 5, wherein the cylindrical hollow body is formed from silver.

7. A process as defined in claim 2, including setting a rotational speed of the casting mold so that an acceleration acting on the melt to be introduced corresponds to 160 to 180 times acceleration due to gravity.

8. A tube target for a cathode sputtering installation, comprising a centrifugally cast, cylindrical hollow body.

9. A tube target as defined in claim 8, wherein the cylindrical hollow body is formed from at least one of a metal and a noble metal.

10. A tube target as defined in claim 9, wherein the cylindrical hollow body is formed from silver.

11. A process for producing tube targets for cathode sputtering installations, comprising the step of using centrifugally cast, cylindrical hollow bodies to produce the targets.

12. A process for coating flat glass in a cathode sputtering installation, comprising the step of coating the flat glass using tube targets with centrifugally cast, cylindrical hollow bodies of silver.

### **ABSTRACT OF THE DISCLOSURE**

A tube target for cathode sputtering installations, and a process for producing a cylindrical hollow body for such a tube target and its use. The problem of providing a simple and low-cost process for producing a cylindrical hollow body for a tube target and of providing a cylindrical hollow body with a uniform, fine-grained structure is solved by the cylindrical hollow body being produced by centrifugal casting of a melt.

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### TUBE TARGET

the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I also acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37 CFR 1.63(d), which occurred between the filing date of the prior application and the filing date of the continuation-in-part application, if this is a continuation-in-part application.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<b>Prior Foreign Application:</b>	<b>Country:</b>	<b>Germany</b>
	<b>Appln. No.:</b>	<b>199 53 470.5</b>
	<b>Filed:</b>	<b>November 05, 1999</b>

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

009011 85040250



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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Otto BACH et al.

Serial No.: n/a

Filed: concurrently

For: Tube Target

**LETTER TRANSMITTING PRIORITY DOCUMENT**

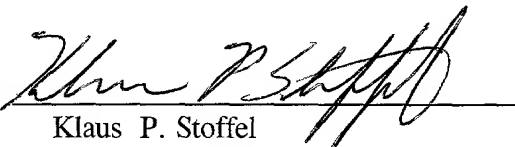
Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

In order to complete the claim to priority in the above-identified application under 35 U.S.C. §119, enclosed herewith is the certified documentation as follows:

Application No. **199 53 470.5**, filed on November 05, 1999, in Germany, upon which the priority claim is based.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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